

Policy II - Investment products

Table of contents

- II. 1 - Collective investment schemes (CISs)
 - II. 1.1. Common provisions applicable to UCITS and CISs
 - Position DOC-2018-05: Requirements for stress test scenarios under article 28 of the european money market fund regulation
 - Instruction DOC-2017-05: Conditions for setting up redemption gate mechanisms
 - Position - Recommendation DOC-2011-05: A guide to regulatory documents governing collective investment undertakings
 - Position - Recommendation DOC-2011-25: A guide to the monitoring of collective investment undertakings
 - Position - Recommendation DOC-2011-24: A guide to drafting collective investment marketing materials and distributing collective investments
 - Position DOC-2012-15: Criteria applicable to "philanthropic" collective investments
 - Position DOC-2007-19: Extra-financial criteria for securities selection and application of these criteria to funds declaring themselves compliant with Islamic law
 - Position DOC-2008-14: Fund performance swaps and actively managed investment structures
 - Position DOC-2012-12: A guide to fees
 - Instruction DOC-2011-15: Calculation of global exposure for authorised UCITS and AIFs
 - II. 1.2. Specific provisions applicable to UCITS
 - Instruction DOC-2011-19: Authorisation procedures, preparation of a KIID and a prospectus and periodic reporting for French and foreign UCITS marketed in France
 - Position DOC-2013-06: ETFs and other UCITS issues
 - II. 1.3. Specific provisions applicable to CISs open to all subscribers
 - Position DOC-2013-16: Key concepts of the Alternative Investment Fund Managers Directive
 - Position DOC-2013-22: Frequently asked questions on the transposition of the AIFM Directive into French law
 - Instruction DOC-2014-02: Disclosure to investors in unauthorised or undeclared AIFs
 - Position DOC-2014-09: Methods for meeting requirements to report to the AMF under the AIFM directive
 - II. 1.3.1. Specific provisions applicable to Funds open to non-professional investors
 - Instruction DOC-2011-20: Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds
 - Instruction DOC-2011-23: Authorisation and establishment processes for a KIID and a prospectus and periodic information for real estate collective investment undertakings and professional real estate collective investment undertakings
 - Instruction DOC-2011-22: Authorisation procedures, preparation of a KIID and rules, and reporting for private equity funds
 - Position - Recommendation DOC-2012-11: Guide for retail and professional private equity funds
 - Instruction DOC-2019-04: Real estate investment companies, Forestry investment companies and Forestry groups
 - II. 1.3.2. Specific provisions applicable to Funds open to professional investors
 - Instruction DOC-2011-20: Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds
 - Instruction DOC-2011-23: Authorisation and establishment processes for a KIID and a prospectus and periodic information for real estate collective investment undertakings and professional real estate collective investment undertakings
 - Instruction DOC-2012-06: Procedures for making disclosures and introducing changes, preparation of a prospectus and reporting for specialised professional funds and professional private equity funds
 - Position DOC-2005-14: Q&A on professional specialised investment funds

AMF

- Position - Recommendation DOC-2012-11: Guide for retail and professional private equity funds
- Position DOC-2006-18: Calculation period for the net asset values of funds of alternative funds and retail investment funds
- II. 1.3.3. Specific provisions applicable to employee investment undertakings
 - Instruction DOC-2011-21: Authorisation procedures, preparation of a KIID and a prospectus, and reporting for employee investment undertakings
 - Position - Recommendation DOC-2012-10: Guide relating to employee investment undertakings
- II. 1.3.4. Specific provisions applicable to securitisation vehicles
 - Instruction DOC-2011-01: Securitisation vehicles
- II. 2 - Other investment products
 - Position DOC-2017-01: Questions and answers – Prohibition of marketing communications with regard to the provision of investment services on certain financial derivatives
 - Position DOC-2011-08: Q&A on foreign exchange trading